

Please type a plus sign (+) inside this box →

PTO/SB/05 (2/98)  
App. for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(h))

Attorney Docket No. 00801.0192.NPUS00

First Named Inventor or Application Identifier Simon Santa

Title EXPRESSION OF FOREIGN GENES FROM PLANT  
VECTORS

Express Mail Label No. EL615207949US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:** Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (Form PTO-1082)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 35]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above  
copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
14. ☐ \*Small Entity ☐ Statement filed in  
Statement(s) prior application,  
Status still proper  
and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: Sequence Listing

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF  
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No., filed

Prior Application Information: Group/Art Unit:

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 27194 27194 or ☐ Correspondence address below  
(Insert Customer No. or Attach Bar Code Label here)

NAME	Albert P. Halluin				
	HOWREY SIMON ARNOLD & WHITE, LLP				
	Box No. 34				
ADDRESS	301 Ravenswood Avenue				
CITY	Menlo Park	STATE	CA	ZIP CODE	94025
COUNTRY	US	TELEPHONE	650-463-8100	FAX	650-463-8400
Name (Print/Type)	Albert P. Halluin		Registration No. (Attorney/Agent)		25,227
Signature	[Signature]		Date		January 9, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

01/09/01



EXPRESS MAIL NO. EL615207949US

FORM PTO-1082

HOWREY SIMON ARNOLD & WHITE, LLP  
 Box No. 34  
 301 Ravenswood Avenue  
 Menlo Park, CA 94025  
 (650) 463-8100

Attorney Docket No. 00801.0192.NPUS00

**Box Patent Application**  
 COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of **SIMON SANTA-CRUZ, GREGORY P. POGUE, RACHEL L. TOTH, SEAN CHAPMAN, and FIONA CARR**, for **EXPRESSION OF FOREIGN GENES FROM PLANT VIRUS VECTORS**.

Also, enclosed are:

1. Utility Application Transmittal;
2. Sequence Listing;
3. 4 Sheets of Formal Drawings; and
4. Return postcard.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	-20 =	0
INDEP. CLAIMS	-3 =	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
RATE	FEE		RATE	FEE
	\$ 345.00	OR		\$ 690.00
x 9 =	0.00	OR	x 18 =	
X 39 =	0.00	OR	x 78 =	
+ 130 =	0.00	OR	+ 260 =	
TOTAL	\$345.00	OR	TOTAL	

This application is being filed under 37 C.F.R. §1.53(b)(1) without declaration and filing fee. Please defer payment of the filing fee until receipt of the Notice to File Missing Parts.

Date January 9, 2001

*Vol 2 f, 41,131, for*  
 Albert P. Halluin (25,227)  
 Robin C. Chiang (46,619)